

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <b>TRANSMITTAL LETTER TO THE UNITED STATES</b> <b>DESIGNATED/ELECTED OFFICE (DO/EO/US)</b> <b>CONCERNING A FILING UNDER 35 USC 371 AND 37 CFR 1.491</b>		ATTORNEY DOCKET NO. P2287US U.S. APPLICATION NO. Unassigned <b>10/505567</b>
INTERNATIONAL APPLICATION NO. PCT/NZ2003/000227	INTERNATIONAL FILING DATE 9 October 2003 (09.10.2003)	PRIORITY DATE CLAIMED 9 October 2002 (09.10.2002)
TITLE OF INVENTION MODULAR CARRIER FOR FLAT ITEMS		
APPLICANT(S) FOR DO/EO/US CHAPPLE, Bryn Lincoln		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 USC 371 and 37 CFR 1.491.		
2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 USC 371 and 37 CFR 1.491.		
3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)).		
4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).		
5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 USC 371(c)(2)) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul>		
6. <input type="checkbox"/> An English language translation of the International Application as filed (35 USC 371(c)(2)).		
7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3)) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ul>		
8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).		
9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 USC 371(c)(4)).		
10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).		
11. Nucleotide and/or Amino Acid Sequence Submission <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on:             <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> Paper Copy</li> </ul> </li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ul>		
<b>Items 12 to 19 below concern other document(s) or information included:</b>		
12. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Form PTO-1449</li> <li><input checked="" type="checkbox"/> Copies of Listed Documents</li> </ul>		
13. <input type="checkbox"/> An assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		
14. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.		
15. <input type="checkbox"/> A substitute specification.		
16. <input type="checkbox"/> A change of power of attorney and/or address letter.		
17. <input checked="" type="checkbox"/> Application Data Sheet Under 37 CFR 1.76		
18. <input checked="" type="checkbox"/> Return Receipt Postcard		
19. <input type="checkbox"/> Other items or information:		

U.S. APPLICATION NO. Unassigned <b>10/505567</b>		INTERNATIONAL APPLICATION NO. PCT/NZ2003/000227		ATTORNEY DOCKET NO. P2287US	
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20. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS	PTO USE ONLY
<b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1,080.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$ 920.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO, but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$ 770.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$ 730.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1) to (4) ..... \$ 100.00					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT=</b>				\$1,080.00	
Surcharge of \$130.00 for furnishing the National fee or oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	24 -20=	4	x \$ 18.00	\$72.00	
Independent Claims	4 - 3 =	1	x \$ 86.00	\$86.00	
<input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)				+\$290.00	\$
<b>TOTAL OF ABOVE CALCULATIONS=</b>				\$1,238.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
<b>SUBTOTAL=</b>				\$	
Processing fee of \$130.00 for furnishing English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date.				\$	
<b>TOTAL NATIONAL FEE=</b>				\$	
Fee for recording the enclosed assignment. The assignment must be accompanied by an appropriate cover sheet. \$40.00 per property				+	\$
<b>TOTAL FEE ENCLOSED=</b>				\$1,238.00	
				Amount to be: refunded	\$
				charged:	\$

a. ☐ A check in the amount of \$            to cover the above fee is enclosed.

b. ☒ Please charge Deposit Account No. 07-0181 in the amount of \$1,238.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-0181. A duplicate copy of this sheet is enclosed.


**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Customer Number: 08968

Gardner Carton & Douglas LLP  
 191 N. Wacker Drive, Suite 3700  
 Chicago, Illinois 60606-1698

  
 Richard A. Wulff, Registration No. 42,238  
 One of the Attorneys for Applicant(s)

Date: August 24, 2004

10/505567

**EXPRESS MAILING CERTIFICATE  
UNDER 37 CFR § 1.10****Date of Deposit: August 24, 2004****"Express Mail" Mailing Number: EU909390356US**

I hereby certify that the attached correspondence, including any documents or materials referred to thereon as enclosures or attachments, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to:

Mail Stop PCT  
Attn: DO/EO/US  
Commissioner for Patents  
P.O Box 1450  
Alexandria, VA 22313-1450

Title: MODULAR CARRIER FOR FLAT ITEMS

Applicant(s): Chapple

Application No.: Unassigned  
(U.S. National Phase of PCT/NZ2003/000227)

Filing Date: August 24, 2004

**Enclosed:**

1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 USC 371 and 37 CFR 1.491 (3 pgs. in duplicate)
2. Application Data Sheet (3 pgs.)
3. Combined Declaration and Power of Attorney executed by the inventors (3 pgs.)
4. Preliminary Amendment (9 pgs.)
5. Information Disclosure Statement (4 pgs.)
6. Form 1449 (3 pgs.)
7. Copies of cited references CY-DH
8. Copy of International Search Report (3 pgs.)
8. Charge Deposit Account No. 07-0181 the Amount of \$1,238.00
9. Return receipt postcard

Zenash Kebeck  
Typed or Printed Name

Leonard D. Kebeck  
Signature

Attorney Docket No. **P2287US**  
Client No. 085685-0004

U.S. APPLICATION NO.

Unassigned

10/505567

INTERNATIONAL APPLICATION NO.

T/NZ2003/000227

ATTORNEY DOCKET NO.

P228

## CERTIFICATION UNDER 37 CFR 1.10

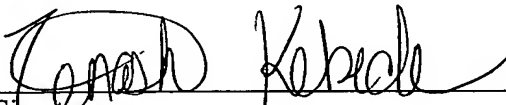
"Express Mail" Label Number: EU909390356US

Date of Deposit: August 24, 2004

I hereby certify that this express request to begin national examination procedures under 35 USC 371(f) of the International Patent Application referenced above, including all of the items listed thereon as enclosures, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, Attention: DO/EO/US, P.O. Box 1450, Alexandria, VA 22313-1450.

Zenash S. Kebede

Printed Name of Person Signing:

  
Signature